



2020 FILING CHECKLIST FOR: Domestic Affiliated Reinsurance Company (ARC)

This checklist is not inclusive of all Vermont DFR regulatory requirements; The Commissioner may require additional documents/filings as warranted.

Electronic Submissions only (except license renewal fees). See Filing address per filing type.

See Title 8: Banking and Insurance/Chapter 141: Captive Insurance Companies/Subchapter 5: Affiliated Reinsurance Companies Section 6049 [Vermont Statute Title 8 Chapter 141](#)

Table with columns: Filing, Description, Due Date \*\*/Date Submitted. Rows include NAIC Financial Statement, License Renewal Fee, Audit, Actuarial, Parent/Sponsor Company Annual Financial Statement, Reinsurer Audited Financial Statement, and Pro Forma Financial Statements.

\*NOTE: The Captive Insurance Premium Tax (CPT) returns and payments are to be filed no later than March 15th and are to be electronically filed and paid via the Vermont Department of Taxes online system (new look same system) <https://www.myvtax.vermont.gov/>

\*\* Please note that for our domestic filing due date references of "Prior to", for our purposes we interpret the date to be the same as would fall under our domestic filing due date reference "On or before". Example: If the Due date is Prior to March 15th, we consider the filing due date to be no later than March 15th, after which an extension would need to be requested. If a statutory due date falls on a weekend or a public holiday, it would then fall as due by the next business day.