



****MANDATORY FOR ALL COMPANIES FILING ON VERMONT CAPTIVE ANNUAL REPORT BLANK****
REFER TO GENERAL INSTRUCTIONS

**SUBMITTAL FORM FOR
ANNUAL AUDITED FINANCIAL STATEMENTS**

Submit electronically to:
DFR.CaptiveFinancialFilings@vermont.gov

License #:

Name of Company:

Annual Audited Financial Statements and required letters

1. Is the Audit Opinion adverse or qualified for reasons other than an approved permitted practice?
2. Do the Audited Financial Statements reflect any audit adjustments impacting net income and surplus? If yes, a reconciliation to the VCAR is required in the footnotes to the Audited Financial Statements.
3. Are the following required letters attached to this filing?
Report of Evaluation of Internal Controls
Accountant's Letter of Qualifications
Certification of Review of Audit Work Papers
4. Does the Report of Evaluation of Internal Controls identify any unremediated material weaknesses and/or significant deficiencies?
If yes, management should file a remediation plan via DFR.CaptiveMail@vermont.gov

Comments