



MANDATORY FOR ALL COMPANIES FILING ON VERMONT CAPTIVE ANNUAL REPORT BLANK

REFER TO GENERAL INSTRUCTIONS

**SUBMITTAL FORM FOR
A) VERMONT CAPTIVE ANNUAL REPORT
B) COPY OF VERMONT PREMIUM TAX RETURN**

Submit electronically to:

DFR.CaptiveFinancialFilings@vermont.gov

License #: _____
Name of Company: _____

Check if enclosed	Filing Description	Instructions
	Vermont Captive Annual Report (VCAR) in PDF and EXCEL	Complete Section A
	Copy of Vermont Premium Tax Return (as filed with the VT Dept. of Taxes) Fiscal filers also include VCAR page (5) PREMIUMS to support 12/31 premium written	Complete Section B

Section A - Vermont Captive Annual Report

1. Is the filing signed by a minimum of two executive officers?
2. Is the filing properly notarized?
3. Are there NO check sheet differences on page (9) CROSSCHECK?
4. Does the captive meet the statutory minimum capital requirement?
5. If this filing includes cells, does the cell(s) meet the minimum capital requirement(s) approved in their business plan?

If answered no to questions 4 or 5, has management written to DFR.CaptiveMail@vermont.gov to file a capital remediation plan and described on page (4) QUESTIONNAIRE?

Section B - Vermont Premium Tax Return

1. Do direct and assumed premiums per the VT Premium Tax Return agree to direct and assumed premiums per the VCAR?

If no, management should provide an explanation on VCAR page (5) PREMIUMS.

Comments